

# RECEIVED



## FINANCIAL DISCLOSURE STATEMENT

State Form 40878 (R11 / 3-10)

OFFICE OF THE INSPECTOR GENERAL

IC 4-2-6-8

JAN 31 2012

For the calendar year

2011

OFFICE OF THE INSPECTOR GENERAL

☐ Check if this is an amendment to your current statement.

Please read guidelines on page 4.

Name (last) Daniels, Jr.	Name (first) Mitchell	Name (middle) E.
Spouse's name (last) Daniels	Name (first) Cherl	Name (middle)
Office address (number and street) Statehouse, Room 208	City Indianapolis	ZIP code 46204
Office telephone number ( 317 ) 232-4567	Email address (required) mdaniels@gov.in.gov	

I am filing this statement as a: (please select one)		<input type="checkbox"/> Candidate for office	<input checked="" type="checkbox"/> Incumbent officeholder	<input type="checkbox"/> State employee
Office or agency Governor	Job title Governor			

EACH PART MUST BE ANSWERED. WORDS IN **BOLD ITALICS** ARE INCLUDED IN THE DEFINITIONS.

If you have information to report below, select YES. If no information, select NO.			<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>PART 1 - GIFTS</b>				
List the name and address of any <i>person</i> known to have a <b>business relationship</b> with the agency of the state officer or employee or the office sought by the candidate, and from whom the state officer, candidate, or the employee, or that individual's spouse or unemancipated children received a <b>gift</b> or gifts having a total fair market value in excess of one hundred dollars (\$100).				
Name (last)	Address (city)		ZIP code	
Name (last)	Address (city)		ZIP code	
Name (last)	Address (city)		ZIP code	

If you have information to report below, select YES. If no information, select NO.			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>PART 2 - REAL PROPERTY INTERESTS</b>				
List the location of all real property in which you, your spouse, or your unemancipated children have equitable or legal interest either amounting to five thousand dollars (\$5,000) or more or comprising ten percent (10%) of your net worth or the net worth of your spouse or your unemancipated children. You need not include your residence unless it also serves as income property.				
Property and its location Greenbrier, 4 Copeland Hill, White Sulphur Springs, WV 24986				
Property and its location				
Property and its location				

If you have information to report below, select YES. If no information, select NO.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>PART 3 - NON-STATE EMPLOYERS</b>			
List the name of your <b>employer(s)</b> and the employer(s) of your spouse and the nature of each employer's business.			
Your employer		Nature of business	
Spouse's employer		Nature of business	

If you have information to report below, select YES. If no information, select NO. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>PART 4 - SOLE PROPRIETORSHIP OR PROFESSIONAL PRACTICE</b>	
List any sole proprietorship owned or professional practice operated by you or your spouse and the nature of the business.	
Name of your business	Nature of business
Name of spouse's business	Nature of spouse's business
Do any clients for these businesses listed above have a <i>business relationship</i> with your agency (or in the case of a candidate, with the office sought)? <input type="checkbox"/> Yes <input type="checkbox"/> No	
List the name of any client or customer from whom you or your spouse received more than thirty-three percent (33%) of your (or your spouse's) non-state income in a year.	

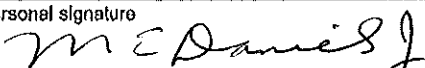
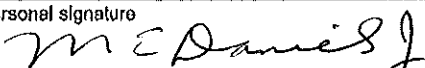
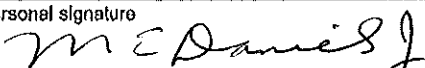
If you have information to report below, select YES. If no information, select NO. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>PART 5 - PARTNERSHIPS</b>	
List any partnership in which you or your spouse is a member and the nature of the partnership business.	
Name of partnership Daniels Associates, LLC	Nature of partnership Investments
Name of spouse's partnership	Nature of spouse's partnership

If you have information to report below, select YES. If no information, select NO. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>PART 6 - OFFICER OR DIRECTOR OF CORPORATION</b>	
List the name of any corporation in which you or your spouse is an officer or director and the nature of the corporation's business. Churches need not be listed.	
Name of corporation	Nature of business
Name of spouse's corporation	Nature of spouse's business

If you have information to report below, select YES. If no information, select NO. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>PART 7 - STOCKHOLDER OF CORPORATION</b>			
List the name of any corporation in which you, your spouse, or your unemancipated children own stock or stock options having a fair market value in excess of ten thousand dollars (\$10,000). A time or demand deposit in a financial institution or insurance policy need not be listed.			
Name of corporation see attachment	Yours <input checked="" type="checkbox"/>	Spouse's <input type="checkbox"/>	Children's <input type="checkbox"/>
Name of corporation see attachment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Name of corporation all other assets held in blind trust <sup>a</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If you have information to report below, select YES. If no information, select NO. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>PART 8 - MOST RECENT EMPLOYER</b>			
List the name and address of your most recent former employer.			
Name of your most recent former employer Executive Office of the President	Street address (number and street) White House		
	City Washington	State DC	ZIP code 20500

COMMENTS
Please place any comments in the fields below.
*Blind trust- Trust administrator is The Trust Company of Oxford, Lora White, Trust Officer

AFFIRMATION		
<p>I swear or affirm, under the penalty of perjury, that the facts as presented on this Financial Disclosure Statement are true, complete, and correct to the best of my knowledge and belief.</p> <p>I understand that I may file an amended statement upon discovery of additional information required to be reported.</p> <p>I acknowledge awareness of Indiana Code 4-2-6-8(d) under which a failure to file in a timely manner or filing a deficient statement is subject to a civil penalty at the rate of not more than ten dollars (\$10) for each day the statement remains delinquent or deficient. The maximum penalty under this subsection is one thousand dollars (\$1,000). I also acknowledge awareness of Indiana Code 4-2-6-8(e) under which a person who intentionally or knowingly files a false statement commits a class A infraction.</p>		
<table border="0"> <tr> <td>Personal signature </td> <td>Date signed (month, day, year) 1/31/12</td> </tr> </table>	Personal signature 	Date signed (month, day, year) 1/31/12
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Mail or deliver to the following address:

Office of the Inspector General  
315 West Ohio Street, Room 104  
Indianapolis IN 46202-3210  
Telephone: (317) 232-3850

Mr. Mitchell E. Daniels, Jr.  
State Form 40876  
(Stockholder of Corporation)

2011

Cash Equivalents

Owner

State Credit Union	Mitch
Bank of Indianapolis	Mitch
Chase Checking	Joint

Mutual Funds

JP Morgan Large Cap Value	Cheri
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Retirement Plans

TCO SEP/IRA Rollover

Fidelity Institutional MM: Treasury Portfolio ~ Class I	Mitch
Ishares Russell 1000 Value Index	Mitch
Ishares Russell 2000 Index	Mitch
Eaton Vance Global Macro	Mitch
Matthews Asian Growth & Income	Mitch

State of IN 457 Retirement Plan

Fidelity Diversified International	Mitch
IronBridge Frontegra SMID Fund	Mitch
Wells Fargo Advantage Capital Growth I	Mitch
BlackRock Large Cap Value Retirement	Mitch
Vanguard Institutional Index Fund	Mitch

Public Employees Retirement Fund (PERF)

Guaranteed Fund	Mitch
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Chase Traditional IRA

JP Morgan International Equity Fund	Cheri
JP Morgan Large Cap Growth Fund	Cheri
JP Morgan Small Cap Growth Fund	Cheri
JP Morgan Large Cap Value	Cheri
JP Morgan Market Expansion Index Fund	Cheri

Chase Rollover IRA

JP Morgan Invesco Charter Fund	Cheri
JP Morgan Invesco Constellation Fund	Cheri

TIAA CREF

CREF Money Market	Cheri
TIAA Traditional	Cheri

Daniels Associates, LLC

Fidelity Institutional MM: Government Portfolio ~ Class I	Mitch
Fidelity Institutional MM: Treasury Portfolio ~ Class I	Mitch
Ishares Iboxx High Yield Corporate Bond	Mitch
JP Morgan Alerian MLP Index	Mitch
Aston/River Small Cap Value	Mitch
Aberdeen Asia Bond Institutional Class	Mitch
William Blair International Growth Fund Class I	Mitch
Columbia Value and Restructuring Fund Class Z	Mitch
Credit Suisse Commodity Return	Mitch
Harbor International Fund	Mitch
Leuthold Asset Allocation Fund Institutional	Mitch
Matthews Growth & Income Institutional	Mitch
PIMCO Emerging Local Bond Fund Institutional	Mitch
RS Emerging Markets Fund Class Y	Mitch
RS Global Natural Resources Fund Class Y	Mitch
Royce Value Plus Investor Class	Mitch
Third Avenue Value Fund	Mitch
Vanguard Total Stock Market Index Fund Signal Shares	Mitch
Virtus Real Estate Securities Fund Class I	Mitch
Winslow Capital Management	Mitch
Nuveen Asset Management	Mitch
Hatteras Core Alternatives Fund, LP	Mitch